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Legal red teaming: A systematic approach to assessing legal risk of generative AI models



# Legal red teaming: A systematic approach to assessing legal risk of generative AI models

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#### Introduction

Generative artificial intelligence (GenAI) is gaining substantial traction across various domains. Unlike traditional, "narrow-purpose" AI, which is deterministic in nature once trained, GenAI is nondeterministic and excels in creating, or generating, new content, such as text, images, and video. The non-deterministic nature of GenAI means that it can create materially different outputs each time, even with the same input, which is not true of narrow AI systems (Bommasani, et al. 2021). This property makes GenAI a powerful tool in various fields and contributes to its increasing use across industries. GenAI is commonly deployed in chatbots, where a category of GenAI models known as large language models (LLMs) generate humanlike responses to user queries. Because these chatbots and other GenAI are widely available and easily deployed at scale, it is important to conduct evaluations to determine the reliability and risks of these tools that anyone with an internet connection can access. The nondeterministic nature of GenAI, however, means that traditional model testing and validation methods are not optimal or effective. Instead, organizations must rely on other options, such as red teaming, to fill the assessment gap.

Red teaming, a concept that originally emerged from the military during the Cold War era, is now a common practice in cybersecurity (Zenko 2015). The primary cybersecurity function of red teaming is to proactively attack a system to identify vulnerabilities. This approach tests the strength and resilience of a system by simulating threats, in the form of accidental misuse or targeted malicious attacks. For LLMs, red teaming is typically employed as a proactive measure to enhance model safety by attempting to induce harmful behaviors (Ganguli, et al. 2022). The aim is to expose and address weaknesses that could be exploited, thereby mitigating risks and helping ensure the responsible use of LLMs. There are various techniques available to red team LLMs and LLM-based systems, each with different goals. Red teams that take on the role of malicious actors to get the model to behave in harmful or otherwise unwanted ways are more aligned with the traditional cybersecurity red teaming model. Unlike in cybersecurity, however, it is much more likely that benign actors who are using the LLM system as intended will generate harmful or unwanted model responses. This is one primary target of legal red teaming.

Legal red teaming is often a valuable strategy in assessing and mitigating risks associated with GenAI technologies from a non-technical standpoint. It involves engaging legal professionals and technologists in a combined effort to prompt AI systems to produce outcomes that could pose legal and regulatory risks if provided to an end user. The process involves behaving in a way that represents various members of a user pool expected to interact with the AI system, including those who do not fully understand the capabilities (and therefore input



problematic information or generate problematic responses without intent), and those who purposefully seek to overcome constitutional or technical guardrails and elicit responses that may result in significant operational or legal harm. The legal risks involved are not uniform but rather vary across industries and jurisdictions, contingent on the applicable laws and regulations. Qualified attorneys can assist in assessing these risks accurately, and a clear understanding of legal and compliance risks can enable the development of strategies to mitigate potential complications.

It is worth noting that there have been instances in which failures in GenAI systems have already led to significant business liabilities or reputational harms. High-profile cases have highlighted the repercussions of overlooking the potential for harmful outputs from AI systems. For example, the *New York Times* recently published a report on the behavior of Google's AI Search feature, AI Overview, which stated the feature in some cases recommended dangerous activities and solutions to user queries, including in the nutrition and medical contexts. In one example, the AI

system recommended ingesting rocks as a source of nutrients and the use of glue as part of a recipe for pizza (Grant 2024). In another example, while AI Overview did not respond when guestioned on the safety of a particular drug associated with weight loss, it did respond to whether the user should take the drug (Mimbs Nice 2024). Legal red teaming is a proactive measure that helps to identify potential pitfalls, with the chance to enact safeguards against them, aiming to reduce these liabilities and reputational harms. It additionally must be noted that GenAI is inherently probabilistic and often unpredictable, and no amount of red teaming before or after launch can guarantee performance. The goal must be risk reduction, not risk elimination, accompanied by appropriate additional protections such as notices, disclaimers, and statements of limitation and responsible use. The optimal strategy almost invariably involves a combination of risk mitigation with appropriate and workable, realistic governance policies.

In this paper, we begin in Section 1 with a concise introduction to GenAI, describing its functionality and applications. Then, in Section 2, we define and explain our novel evaluation technique - legal red teaming – delving into its methodology in application to GenAI tools, and in particular to LLMs. This novel process is a collaborative effort between legal professionals and technologists with the aim of identifying and addressing potential legal risks associated with the use of GenAI tools. In Section 3, we explore how legal red teaming fits into the broader ecosystem of AI governance and risk mitigation, focusing on the importance of a multilayered, proactive, and preventive approach. We introduce legal red teaming as a methodology to help ensure the responsible and risk-aware use of rapidly emerging and evolving GenAI technologies.



# 1. The need for new kinds of AI evaluation

GenAI boasts a broad spectrum of capabilities, with potential applications ranging from text generation and image synthesis to video creation. The basic function of a GenAI system is to take natural language inputs or *prompts* and produce outputs or *generations* in the modality of choice. There are models that can produce text, image, audio, and video, all from natural language prompts. Models that take text as inputs and produce text as outputs are called large language models (LLMs), but GenAI tools are increasingly multimodal, meaning they are capable of producing more than just textual responses (*eg*, video and images), depending on what the model is prompted to output. Nonetheless, language remains a common element of prompting multi-model GenAI, such as text-to-image, textto-video, and so on.

The fundamental functionality of GenAI lies in its ability to generate content probabilistically. This means that, given a particular input, the AI will use a probability distribution to decide what output to produce. The output is not predetermined or fixed; instead, it can vary each time even when the same input prompt is given, making GenAI a powerful tool for diverse, creative applications. For example, GenAI is being used to enhance customer service through more responsive and humanlike chatbots and is also revolutionizing creative industries with automated content creation. As these tools become increasingly advanced, and capable of more emergent behaviors, their applicability and utility in solving complex, interdisciplinary problems grow ever broader. Notable recent advancements in GenAI models include the release of OpenAI's Sora, a text-to-video model, and the expansion of context windows to well over one million tokens, including in Anthropic's Claude 3 and Google's Gemini models.

The rapid advancements in AI technology in the last couple of years have created a host of legal and ethical questions stemming from their use and outputs. Notably, issues such as hallucinations, intellectual property (IP) infringements, contractual and regulatory violations (as to both AI-specific and generally applicable laws), and tort liability for GenAI outputs have emerged as significant concerns. A *hallucination* is an instance of a GenAI model producing false information – for example, by creating a citation to a court case that does not exist. This is possible because the model is striving to create outputs that look like its training data, so it can copy the format of a legal citation using randomly chosen information due to its probabilistic nature, and mimic case citations through commonly associated words in legal training data.

Much attention has been paid to IP concerns that could arise from GenAI's ability to generate new content, namely that GenAI might create outputs that allegedly infringe on existing copyrights, trademarks, or patents. Another potential IP issue

arises from the question of who invents or owns content generated by GenAI. The current legal frameworks in many jurisdictions are not fully equipped to handle these questions, leading to uncertainty and potential disputes, though the US Patent and Trademark Office recently issued guidance on the patentability of AI-assisted inventions. Chiefly, the use of AI in invention does not make the invention unpatentable, so long as "one or more natural persons significantly contributed to the invention," and an AI system is not listed as an inventor on a patent application (US PTO 2024). That said, the concept of "significance" has yet to be fully fleshed out in the context of humanmachine interactions, through litigation or otherwise. And it is likely that this issue will become more complex over time as lines between human and AI are increasingly blurred.

But these IP issues, while attracting significant attention, belie a much broader spectrum of legal and regulatory risk on the horizon. There have already been several notable instances of GenAIpowered tools behaving unexpectedly and creating liability and reputational issues for the organizations deploying these tools. As an example, consider the recently adjudicated Canadian case in which Air Canada claimed it could not be held liable for incorrect information produced by a customerfacing chatbot. The chatbot was alleged to have misrepresented the airline's bereavement policy, which cost the customer hundreds of dollars, and Air Canada was ordered to pay the customer the lost funds (Moffatt v Air Canada 2024). This was notwithstanding disclaimers as well as links provided by the chatbot to the underlying, accurate policy. In another widely publicized example, a visitor to a car dealership's new chatbot was able to get the chatbot to promise the purchase of a new car for \$1, with the chatbot going so far as saying, "that's a legally binding offer" (Notopoulos 2023). There is an increasing body of work on

the tendency of GenAI to flatter users or tell them what they wish to hear, and even to deceive in order cooperate with the user (Laban, et al. 2024).

Chatbots have also told users to violate the law. A chatbot released by New York City to provide "information on starting and operating a business in the city" generated several responses that would have resulted in breaking New York City laws if the user acted upon them (Lecher 2024). For instance, in response to the question, "Do landlords have to accept tenants on rental assistance?" the chatbot replied, "No, landlords are not required to accept tenants on rental assistance." However, it is illegal in New York City for landlords to discriminate on the basis of legal sources of income (Lecher 2024).

Several qualities of GenAI make it harder to test than traditional AI. Where traditional AI tended to have more narrow purposes, directed at one or a few discrete goals, GenAI is multipurposed and able to answer a vast array of requests across industries and knowledge domains, from baking to arms making. Where traditional AI was deterministic - meaning that, once trained, the same inputs generated the same outputs – GenAI can answer the same question differently each time. Where traditional AI generally produced numeric outputs or simple classifications, which made it easy to test against clear benchmarks, GenAI produces free form data that are harder to quantify and assess.

For instance, it is largely clear how to test financial and employment algorithms for bias, even if there is debate about the specific formulae and thresholds to use when determining if a test is passed. Scores are quantified and can be compared across subgroups, including protected classes, looking for disparate impact, which can be quantified in a variety of statistically and legally accepted methods. But testing for bias in a conversational tool that accepts various forms of input, from video to text prompts to documents and so on, and which produces any myriad of outputs for even a single interaction, can introduce forms of bias not so easy to elicit consistently, much less quantify and validate mitigation. Inaccuracy is another principal harm of narrow-purpose AI, but again, the path to testing is clear: Test model outputs against a known set of accurate answers, benchmarked against a gold standard measure of truth, and quantify how the model performed. As with bias, the challenge of testing inaccuracy in a non-deterministic, possibly multimodal model, is far greater. The increase in skill corresponds to an increase in potential for harm: A model that speaks and acts with the freedom and flexibility of a person can get into far more mischief and violate far more types of standards.

The law is only now beginning to require the sort of traditional AI testing above, just as adoption is rapidly moving toward GenAI. Companies are opening themselves to new types of liability, while legal guidance plays catch-up. All of this demonstrates the importance of robust governance frameworks and proactive strategies, such as legal red teaming, to assess and mitigate the potential risks associated with the use of GenAI. Risks will likely grow as these tools are tailored and deployed in higher risk use cases and industries. A comprehensive understanding of the legal and ethical challenges posed by the application of GenAI, and the deliberate application of legal red teaming, may help GenAI system deployers guard against these legal and reputational risks.



# 2. Legal red teaming: concept and methodology

The phrase "red team" has origins in the Cold War, used to describe a team that would aim to expose weaknesses in their own military's strategy by behaving like the enemy (Zenko 2015). A few decades later, cybersecurity began conducting red teaming exercises to assess the digital security of computer networks by behaving as malevolent hackers. A successful red team attack exposes weaknesses in cybersecurity, including zero-day exploits and vulnerabilities, that can be remedied before malicious parties can find them and expose the company to risk.

Similar to military and cybersecurity red teaming, a GenAI red teaming exercise is designed to simulate the attack of the "enemy" on a GenAI model. The enemy in this case may be hackers trying to gain access to company secrets and data, or it may be someone seeking to trick the model into saying something it should not, like agreeing to sell a brand new car for \$1 (Notopoulos 2023). Notably, the "enemy" here can also be an entirely non-malicious actor, such as the user who inadvertently triggers an unwanted or violative output. The goal of the red team in an exercise targeting a GenAI model is to elicit unwanted, suboptimal, incorrect, or otherwise problematic responses from the model. Major LLM developers have used various forms of red teaming in model training in order to guide the model to respond appropriately (Ganguli, et al. 2022). It can also be used post-training and pre-deployment to identify weaknesses in the model's design, guardrails, or other safety mechanisms. Red teaming is particularly suited to the nature of GenAI because the iterative attack model aligns with the non-deterministic nature of the model's outputs. The right attackers can adapt their attacks to model outputs and find the variations that penetrate guardrails, mirroring malicious actors or even benign users who unluckily trigger the unwanted responses on first shot or after.

As such, legal red teaming is, first and foremost, the practice of eliciting responses from the model that can lead to legal liability or regulatory risk for the deployer of the GenAI tool. Legal red teaming therefore aims to expose the legal risks posed by a GenAI tool by using legal knowledge to address the problem of exposing harms in the context of natural language generation abutting against imperfect guardrails.

Adapting the concept of red teaming at the intersection of GenAI and law/legal risk first involves identifying and assessing the legal risks associated with this technology. Some red teaming techniques of GenAI tools adopt technical approaches, such as inserting a suffix of symbols in an automated, technical attack, to get the system to respond in a way it should not (Zou, et al. 2023). Others are more personality-centered, focusing on the AI's behavioral characteristics. An example of this is prompting the AI to act outside its designated role, like asking a shopping assistant to write Python code (Notopoulos 2023). For legal red teaming, different use cases require different subject matter experts. For instance, a GenAI tool used in healthcare will have different legal considerations than one used in finance, and therefore the appropriate subject matter expertise and knowledge of common and anticipated areas of legal risk may be required. Other factors, such as the end user of the tool and the specific laws and regulations pertaining to the domain of application, play a significant role in shaping the approach to red teaming. Thus, the process of legal red teaming in GenAI often calls for adaptability, nuance, and flexibility in addressing the unique legal challenges posed by the diverse applications of this technology.

The first stage of legal red teaming is to identify the areas of law that apply to the intended use case, which in turn requires recruiting a team of attorney subject matter experts who can best identify and define the boundaries of possible areas of legal risk. The attorney team considers relevant laws and regulations and constructs a legal risk taxonomy. This taxonomy categorizes the enumerated risks into distinct categories according to the area of law at issue. For example, an LLM deployed as an assistant on a consumer goods website would have taxonomy categories related to consumer protection and product liability but would not, for the most part, need to contain categories of risks posed by the potential for financial services or insurance sector violations. Some areas, like employment, cut across industries.

Once the legal risk taxonomy is established, the attorney subject matter experts write prompts designed to induce risky behaviors from the GenAI that could lead to legal liability in each of the taxonomy categories. These prompts are crafted carefully to challenge the AI system to produce outputs that could pose legal risks. Here, the key is often the combination of legal and technical knowledge – within single individuals or in teams of data scientists working with dedicated subject matter experts from the specific area of law to design probing questions. Essentially, the attorney is deposing the model: They ask the same question different ways and inquire further on certain information provided by the outputs in order to expose weaknesses in the model's training and safety mechanisms. The legal red team then scores the responses from the model according to their risk level: A score of 1 means minimal risk, and a score of 5 means critical risk.

However, even with the wide array of legal testing by different attorney subject matter experts, there is still a problem of scale that needs to be addressed for all GenAI uses. Simply put, probabilistic, non-determinative tools are difficult to test because a question asked 100 times may elicit 100 different responses from the system. A combination of human legal knowledge and automation fueled by that human knowledge may be the key to optimally addressing these challenges. Following identification of prompts that are successful in provoking risky behavior, another GenAI tool is then used to create many iterations of these successful prompts, as well as additional prompts cued off of the legal taxonomy, with the goal of pushing the boundaries of the original GenAI system even further. This is the automated red teaming step of the legal red teaming process.

The meta technique of using GenAI to red team GenAI has produced successful red team attacks on the target model (Perez, et al. 2022). Having the ability to generate thousands of probing questions of the GenAI tool greatly increases the breadth of the red teaming exercise. While the attorneys are able to probe deeply to identify weaknesses in some scenarios, the automated red teaming simulates the realistic use of the model by thousands of actors. The automated questions are sent to the GenAI tool via API access, and responses are collected. The responses are then scored by a GenAI model with a score of 1-5, where 1 indicates minimal risk and 5 indicates critical risk in a similar fashion to the scores allocated by the legal red team attorneys and technologists.

The model used to score the responses is a fine-tuned model that has been trained on the attorney assessment of the responses. When performing their own red teaming, the attorney subject matter experts record the responses from the model and score them, before providing a written description of why they assigned the response that score. This data is used to fine-tune an LLM, which also produces a numeric score from 1 to 5 and a brief description of the risk posed for each of the thousands of automated responses. Attorney subject matter experts then review and assess a sample of the automated responses to determine if the automated score is in alignment with the attorney scoring. If the GenAI and attorney scoring do not match, the scoring rubric and prompts are revised as needed. This iterative process helps ensure the scoring system is reliable and accurately reflects the legal risks.

Through this rigorous and multifaceted process, the responses with the highest legal risk are identified and reported to the model developers and deployers. These are the responses that pose the most significant legal or other risks of interest and are therefore the primary focus for mitigation efforts. To reduce the recurrence of these high-risk responses, technical guardrails can be implemented in the GenAI system (Wiggers 2024). These safeguards are designed to limit the system's behavior and prevent it, to the extent technically feasible, from producing harmful outputs. Repetition of the legal red teaming process can test implementations of mitigations.

The methodology of legal red teaming is a comprehensive, iterative process. It involves detailed risk assessment, creative challenge scenarios, and robust evaluation. By implementing this methodology, organizations can proactively identify and mitigate many legal risks associated with generative AI. This can not only enhance legal resilience but also promote the responsible and ethical use of AI technologies.



## 3. The impact of legal red teaming

Red teaming is increasingly recognized as an important part of testing and evaluating GenAI systems. President Joe Biden's October 30, 2023 Executive Order on the Safe, Secure, and Trustworthy Development and Use of Artificial Intelligence (the AI EO) defines AI red teaming and notes its importance in dual-use AI models (those that can be used for good or ill, even if safeguards exist to prevent the ill). The Order notes such testing is "most often performed by dedicated 'red teams' that adopt adversarial methods to identify flaws and vulnerabilities, such as harmful or discriminatory outputs from an AI system, unforeseen or undesirable system behaviors, limitations, or potential risks associated with the misuse of the system" (E.O. 14110 2023). However, while the AI EO seemed to focus red teaming on an ostensibly select subset of dual-use foundation models, it is increasingly clear that most, if not all, foundation models (and other non-deterministic models) are dual use. Even downstream applications of GenAI, with multiple layers of testing at the foundation, modification, and deployment level, can have unpredicted or unwanted outputs warranting red teaming and mitigation. And it is those downstream uses that can most directly apply foundation models to higher-risk use cases, grounding and pointing them specifically toward employment, financial services, medical, or other high-impact applications. The Federal Trade Commission (FTC), for example, announced its intent to assess liability across the "AI stack."

On the other side of the Atlantic, the EU's Artificial Intelligence Act focuses on creating a regulatory framework for AI, prioritizing human oversight, transparency, and accountability, especially for so-called "high-risk" AI systems. As part of the creation of this framework, several operational and technical resilience measures are required under the terms, including the use of comprehensive model evaluations such as "adversarial testing," a term synonymous with red teaming (European Parliament 2024). Both the AI EO and EU AIA aim to mitigate risks through comprehensive compliance measures and technical standards, setting the stage for responsible AI innovation, and demonstrating a clear governmental and regulatory push for robust and secure development and deployment of AI. Beyond the AI EO and EU AIA, other relevant legal and regulatory issues include data protection laws such as GDPR in Europe and CCPA in California, which impose strict rules on data privacy and user consent. Additionally, there is a growing focus on AI-specific regulations globally, addressing ethical considerations, accountability, and transparency in AI development and deployment. These frameworks are evolving to keep pace with AI advancements, emphasizing the need for AI systems to be designed and operated responsibly, with a clear emphasis on safeguarding user rights and social values.

As of the date of publication, DLA Piper has applied or is currently applying our legal red teaming methodology to GenAI tools in development by four global brands, including three *Fortune 50* companies, representing several industries. Lawyers may not be immediately intuitive stakeholders in red teaming, but the legal red teaming methodology may be critical for broad evaluation of GenAI systems for two reasons.

First, the taxonomy of harms relating to GenAI is increasingly legal in nature, as societal expectations of AI are codified. AI governance has evolved from technical, to ethical, to legal rules over the past decade. From 2016 to 2022, the emphasis was often on "ethical AI" standards, evolving into "accountable" or "responsible" AI.

In 2023, however, regulatory, legislative, and litigation activity reached an inflection point, and with it legal risk. Congress mandated the National Institute of Standards and Technology (NIST) to create a Risk Management Framework for AI. In that document, released in early 2023, NIST described the "fiduciary" nature of AI governance within private companies, noting, "Key AI actors responsible for AI governance include organizational management, senior leadership, and the Board of Directors. These actors are parties that are concerned with the impact and sustainability of the organization" (NIST 2023). The SEC has spoken in similarly charged terms, with Commissioner Gary Gensler stating recently, "If a company is raising money from the public, though, it needs to be truthful about its use of AI and associated risk" (Gensler 2024). In 2019, the FTC issued a \$5 billion fine and 20-year settlement order on Facebook over data privacy issues, requiring "unprecedented new restrictions on Facebook's business operations and create[ing] multiple channels of compliance....from the corporate boardlevel down" (FTC 2019).

Enforcement activity has only increased since then, with "algorithmic disgorgement" and data destruction as novel penalties threatening not just economic loss but business continuity. Setting aside the wellknown copyright litigation around LLMs by content producers, early tort claims against GenAI for harm caused by "hallucinations" portend a wave of consumer actions blaming harms on company-provided AI. The EU AI Act includes penalties as high as 7 percent of annual global revenue, and California and Colorado have shown their intent to model that law domestically. As AI rolls out in multiple highly regulated sectors, additional industry specific regulations apply as well, from HHS and FTC review of medical use cases to EEOC (employment), CFPB (finance), and NAIC (insurance) in their domains.

As AI governance matures, the translation of ethical to legal principles provides contours and guidance on evaluation and testing, adding a level of rigor and a clearer target at which to aim. Traditional taxonomies in red teaming often focused on socio-technical harms, such as lack of transparency, toxicity, and inadequate safety. But, within a legal framework, a lack of transparency becomes unfair or deceptive conduct defined by statute and case law. Safety and accuracy become negligence or product liability. Toxicity and bias become tortious infliction of distress or unlawful discrimination, each with its own legal contours and limitations. Of course, there are also increasingly AI-specific laws that add to the legal taxonomy. These legal taxonomies need not replace traditional "socio-technical" harms in risk assessments, but they certainly complement and clarify them. There may be areas of toxicity not covered by tort law or anti-discrimination that nonetheless companies wish to avoid. But leveraging a legal framework sets forth a society's longstanding views and trade-offs on those issues, as nuanced and refined by subsequent AI guidance where available.

The second reason legal red teaming may be valuable within the GenAI system evaluation process is that, at heart, human lawyers are linguists. The analysis of legal code, as opposed to computer code, can be a more graded linguistic and semantic endeavor. The process of deposition is the asking of many questions, or sometimes the same question many ways, against an often reluctant or equivocating witness, designed to elicit the accidental telling of truths. This practice is suited to GenAI models whose primary interface is language, and whose guardrails are susceptible to linguistic manipulation or circumvention (Zou, et al. 2023). We believe prudent lawyers will nonetheless be coupled with data scientists and other technologists to plan viable lines of attack, but that, at the same time, the linguistic knowledge of lawyers within an adversarial legal system may provide an important and complementary element of adversarial attacks on GenAI.

An additional advantage of legal red teaming is that, when conducted by an external firm, the legal red teaming exercise amounts to a third-party system evaluation. AI developers and deployers are increasingly calling for third-party evaluation of their internally developed systems. For example, in the AI safety context, Anthropic has recently endorsed third-party testing, noting, "Although Anthropic is investing in our RSP [Responsible Scaling Policy] (and other organizations are doing the same), we believe that this type of testing is insufficient as it relies on self-governance decisions made by single, private sector actors" (Anthropic 2024). They call for a "robust, third-party testing regime [...] to complement sector-specific regulation [...] [and w]e expect that ultimately some form of third-party testing will be a legal requirement for widely deploying AI models" (Anthropic 2024).

By proactively identifying and addressing legal risks related to GenAI, legal red teaming can help organizations navigate this complex legal environment. It allows organizations to systematically identify potential legal risks and vulnerabilities and take preventive steps to mitigate them. This can reduce the chances of legal disputes, regulatory penalties, and related reputational damage. Moreover, this proactive approach can aid in compliance and build trust with users and stakeholders. It demonstrates an organization's commitment to ethical and legal standards in the deployment of AI and related technologies.

#### Conclusion

We have presented a novel GenAI evaluation method, legal red teaming, and argued that it can serve as a valuable methodology for creating safer and more responsible GenAI systems. We have discussed the benefits and challenges of legal red teaming for GenAI, as well as some best practices and tools for conducting effective legal red teaming exercises. We have also explored the impacts of legal red teaming, highlighting how it can help organizations comply with existing and emerging regulations and frameworks on AI. We hope that this paper will inspire and inform researchers, developers, and practitioners who are interested in applying legal red teaming to their GenAI tools and contribute to the advancement of trustworthy AI.

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