If Yes to any = Prohibited Al practice

Deploys subliminal or purposefully manipulative or deceptive techniques (Art. 5(1)(a))

Exploits the vulnerabilities of people (eg, age, disability, social, or economic situation) with the objective or effect of distorting behavior in a harmful way (Art. 5(1)(b))

Conducts "social scoring" of people leading to detrimental or unfavorable treatment that is either unrelated to context in which data was collected or disproportionate to their behavior (Art. 5(1)(c))

Assesses or predicts the **risk** of a person committing a crime based solely on profiling or their personality traits and characteristics (Art. 5(1)(d))

Creates or expands facial recognition databases through the untargeted scraping of facial images from the internet or CCTV footage (Art. 5(1)(e))

Conducts Infers emotions of people in biometric workplace or education settings, except for medical or safety reasons religious or (Art. 5(1)(f)) philosophical

categorization of **people** to deduce or infer their race. political opinion, union membership. beliefs, sex life, or sexual orientation (Art. 5(1)(g))

Involves "realtime" remote biometric identification **systems** in publicly accessible spaces for certain law enforcement purposes (Art. 5(1)(h))

Art. 5 (1)(a): Subliminal and manipulative techniques

Is the Al System:

- 1. Deploying subliminal techniques beyond a person's consciousness or purposefully manipulative or deceptive techniques...
- 2. With the objective or effect of distorting a person's behavior...
- 3. By impairing their ability to make an informed decision and...
- 4. Causing them to make a decision they would not have otherwise made or that causes harm?

Art. 5 (1)(c): Social scoring

Is the AI System:

- 1. Classifying individuals or groups...
- 2. Based on their behavior or personal characteristics...
- 3. To create a social score...
- 4. That leads to detrimental or unfavorable treatment that is:
 - a. Unrelated to the context in which the data was collected or
 - b. Unjustified or disproportionate to the social behavior?

Art. 5(1)(f): Emotion recognition (in the workplace)

Is the Al System:

- 1. Inferring or identifying emotions...
- 2. In the workplace...
- 3. With or without use of biometric data (eq. facial recognition or analysis of unstructured text data such as chats) and...
- 4. For reasons other than medical or safety?

Based on our team's experience, these may be the most likely categories to apply to nongovernment entities.